

APPLICATION FOR UNITED STATES PATENT
SUPPRESSION OF DOUBLE RAYLEIGH
BACKSCATTERING AND PUMP REUSE IN A RAMAN
AMPLIFIER

By Inventors:

Emilio Casaccia
via Appiani, 22
20052 Monza (MI)
Italy
A citizen of Italy

Fabrizio Di Pasquale
Via Bartolomeo Eustachi 20,
20126, Milano
Italy
A citizen of Italy

Giorgio Grasso
Via Canesi 8, 20052
Monza, Italy
A citizen of Italy

Assignee: Cisco Technology, Inc.
170 W. Tasman Drive
San Jose, CA 95134-1706

Entity: Large

Ritter, Lang & Kaplan LLP
12930 Saratoga Ave., Suite D1
Saratoga, CA 95070
(408) 446-8690

092700 00262650

**SUPPRESSION OF DOUBLE RAYLEIGH BACKSCATTERING
AND PUMP REUSE IN A RAMAN AMPLIFIER**

5

BACKGROUND OF THE INVENTION

The present invention relates to optical communication systems and more particularly to amplification in optical communication systems.

The explosion of communication services, ranging from video teleconferencing to electronic commerce, has spawned a new era of personal and business interactions. As
10 evident in the rapid growth of Internet traffic, consumers and businesses have embraced broadband services, viewing them as a necessity. However, this enormous growth in traffic challenges the telecommunication industry to develop technology that will greatly expand the bandwidth limitations of existing communication systems. Further improvements in optical communications hold great promise to meet the continual
15 demand for greater and greater bandwidth.

Wavelength division multiplexing (WDM) technology, in particular dense WDM (DWDM), permits the concurrent transmission of multiple channels over a common optical fiber. The advent of erbium-doped Fiber Amplifiers (EDFA) has accelerated the development of WDM systems by providing a cost-effective optical amplifier that is
20 transparent to data rate and format. An EDFA amplifies all the wavelengths simultaneously, enabling the composite optical signals to travel large distances (e.g., 600 km) without regeneration.

One of the principal limitations of EDFA technology is limited bandwidth. Discrete and distributed Raman amplifiers have been developed to overcome this

limitation. They provide very high gain across a wide range of wavelengths. Moreover, discrete and distributed Raman amplifiers increase the distance between optical regeneration points, while allowing closer channel spacing.

5 The operation of Raman amplifiers involves transmitting high-power laser pump energy down a fiber in a counter-propagating or co-propagating direction relative to the propagation direction of the WDM signal to be amplified. The pump energy amplifies the WDM signal.

10 One of the major limitations to the performance of Raman amplifiers (both discrete and distributed) is double Rayleigh backscattering of the signal resulting from amplification of certain unwanted signal reflections. It is known to ameliorate double Rayleigh backscattering by dividing up amplifiers into multiple isolated stages using different pumps, thus limiting the path length over which undesirable reflections may travel. This approach, however, leads to inefficient use of counter-propagating pump
15 power, which cannot be readily distributed among isolated stages. Another approach relies on a complex configuration including 3 circulators and an interference filter and permits the energy of a single pump to be divided up over no more than 2 amplification stages.

20 What is needed are systems and methods for Raman amplification that ameliorate double Rayleigh backscattering while optimally employing pump resources and minimizing complexity. It would further be desirable to allow the energy from a single pump to be distributed among as many amplifier stages as desired.

SUMMARY OF THE INVENTION

Systems and methods for ameliorating double Rayleigh backscattering are provided by virtue of one embodiment of the present invention. Raman amplification is
5 divided among two or more stages. Optical energy from a single counter-propagating pump may traverse multiple stages while optical energy at the frequency of the signal to be amplified is only permitted to propagate between stages in the forward direction. In this way the pump power can be effectively used for the entire amplifier length. The scheme may be implemented in a simple configuration employing a closed circulator and
10 a fiber Bragg grating. Multiple wavelength pump operation may be accommodated as well as either discrete or distributed Raman amplification.

A first aspect of the present invention provides apparatus for amplifying an optical signal. The apparatus includes: a pump system disposed to inject optical pump energy into a first end of a first fiber segment so as to counter-propagate relative to an
15 optical signal traversing the first fiber segment and a second fiber segment, and an optical filter structure coupled to a second end of the first fiber segment and a first end of the second fiber segment. The optical signal propagates through the optical filter structure from the second fiber segment to the first fiber segment. The optical pump energy propagates through the optical filter structure from the first fiber segment to the second
20 fiber segment. The optical filter structure substantially blocks energy at a frequency of the optical signal from traveling from the first fiber segment into the second fiber segment. Raman amplification is induced in the first fiber segment and the second fiber segment and double Rayleigh backscattering effects are ameliorated

A second aspect of the present invention provides a method for amplifying an optical signal. The method includes: injecting optical pump energy into a first end of a first fiber segment so that the optical pump energy counter-propagates relative to an optical signal traversing the first fiber segment and a second fiber segment, passing the optical signal from the second fiber segment into a second end of the first fiber segment with low loss; passing the optical pump energy from the first fiber segment into the second fiber segment with low loss, and blocking optical energy at a frequency of the optical signal from entering the second fiber segment from the first fiber segment.

Further understanding of the nature and advantages of the inventions herein may be realized by reference to the remaining portions of the specification and the attached drawings.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 depicts a prior art single-stage counter-pumped Raman amplifier

Fig. 2 depicts optical signal to noise ratio (OSNR) due to amplified spontaneous
5 emission (ASE) and due to double Rayleigh scattering (DRS) noise versus input signal
power for a single-stage Raman amplifier as in Fig. 1.

Fig. 3 depicts a prior art two-stage counter-pumped Raman amplifier

Fig. 4 depicts a two-stage counter-pumped Raman amplifier with pump reuse
according to one embodiment of the present invention.

10 Fig. 5 depicts a three-stage counter-pumped Raman amplifier with pump reuse
according to one embodiment of the present invention.

Fig. 6 depicts simulated measurements of OSNR due to DRS noise versus Raman
gain for a prior art single-stage amplifier and for two-stage and three-stage Raman
amplifiers employing pump reuse according to one embodiment of the present invention.

15 Fig. 7 depicts OSNR due to ASE and OSNR due to DRS noise versus input signal
power for a two-stage Raman amplifier employing pump reuse according to one
embodiment of the present invention.

Fig. 8 depicts a two-stage counter-pumped Raman amplifier employing two pump
wavelengths with pump reuse according to one embodiment of the present invention.

20 Fig. 9 depicts net gain and OSNR due to DRS noise for a prior art single-stage
Raman amplifier and for a two-stage Raman amplifier employing two pump wavelengths
with pump reuse according to one embodiment of the present invention.

Fig. 10 depicts an embodiment of the present invention as applied to a dispersion compensation system.

Fig. 11 depicts OSNR due to DRS noise versus wavelength for one, two, and
5 three sections of pumped dispersion compensating fiber.

DESCRIPTION OF SPECIFIC EMBODIMENTS

Raman Amplification Overview

10 Fig. 1 illustrates a representative Raman amplifier 100 employing a counter-propagating pump. Raman amplifier 100 includes a spool of fiber 102, an optical isolator 104 and an open circulator 106 (depicted as "OC"). A WDM coupler may substitute for open circulator 106. The signal enters Raman amplifier 100 through optical isolator 104 and is amplified while traveling along fiber spool 102 by pump energy originating with a
15 laser pump (not shown) via stimulated Raman amplification. The pump energy, which is inserted through port 1 of circulator 106, should be at a wavelength about 100 nm below the signal wavelength to maximize amplification efficiency for standard telecommunication transmission fibers. Optimal efficiency is obtained by employing fiber with a small effective area and high Raman gain coefficient, for example, dispersion
20 compensating fiber (DCF). Although Raman amplifiers may employ both co-propagating and counter-propagating pumping schemes, the latter technique is the more widely used and is depicted here.

The present invention finds applications in conjunction with counter-propagating pump schemes. Also, although the discussion here focuses on discrete Raman amplifiers, the present invention applies equally to distributed Raman amplifiers.

5

Double-Rayleigh Backscattering in Raman Amplifiers

It is well known that double Rayleigh backscattering, (referred to herein as “DRS”), of the signal being amplified limits the performance of Raman Amplifiers. As the signal propagates through the fiber, a portion is reflected and re-reflected by the medium itself. The resulting double reflected signals are amplified with the same local gain experienced by the signal and result in an interferometric noise at the output of the amplifier.

10

15

20

Fig. 2 shows DRS noise effects in a single stage amplifier such as the one shown in Fig. 1. The plot assumes use of a section of 16 km of DSF with an effective area of 50 μm^2 and a DRS coefficient of 10^{-7} m^{-1} . The attenuation coefficients for the signal and the pump are assumed to be 0.225 dB/km and 0.26 dB/km respectively. Fig. 2 plots the optical signal to noise ratio, OSNR, (on 10 GHz resolution bandwidth) versus the input signal power for two different noise sources. The OSNR due to amplified spontaneous emission, ASE, noise is represented by a solid line. A dashed line represents the OSNR due to DRS. While the ASE-induced OSNR improves as input signal power increases, the OSNR caused by DRS remains constant because it depends on the amplifier gain and not on the input signal power. As Fig. 2 shows, the overall OSNR is largely determined by DRS even for very low input powers such as $\sim -32 \text{ dBm}$.

A Previous Approach to DRS

Since DRS increases with the length of amplifying fiber traversed by the WDM signal and its reflection products, an effective way to reduce the build up of DRS is to divide up Raman amplification among multiple stages separated by optical isolators. Fig. 3 depicts a 2-stage amplifier 300 that follows this approach. Amplifier 300 includes an optical isolator 302 at the input, two spools of fibers 304 and 306, two open circulators 308 and 310 and two counter-propagating pumps 312 and 314. While the signal is amplified by both stages, the build up of DRS is broken by the mid-stage circulator 308 that acts effectively as an isolator for counter-propagating DRS energy.

This architecture requires one pump for each stage and does not allow pump reuse among stages. The residual pump energy of pump 314 present at the input to fiber 306 is blocked from reaching fiber 304. This represents a very inefficient use of pump resources.

An Improved System for Ameliorating DRS

Fig. 4 depicts a two-stage counter-pumped Raman amplifier 400 with pump reuse according to one embodiment of the present invention. An optical isolator 402 couples input into amplifier 400 while blocking counter-propagating pump energy and products of signal scattering. A first stage of amplification occurs within a spool of fiber 404. A second stage of amplification occurs within a spool of fiber 406. A single pump 408 provides pump energy for both stages. An open circulator 410 ("OC") is used to couple the pump energy into the second stage spool of fiber 406.

A closed circulator 412 ("CC") is used to couple or block optical energy flowing between the amplifier stages. In closed three-port optical circulators such as circulator 412, ports 3 and 1 are optically connected with low loss, whereas in open three-port optical circulators the same ports are optically isolated. Signal energy propagates freely from the first stage to the second stage by entering circulator 412 at port 2 and exiting at port 3. In one embodiment, the signal energy experiences less than 0.5 dB of attenuation between the first stage and the second stage.

Counter-propagating optical energy is handled in a wavelength-selective manner by the operation of a fiber Bragg grating (FBG) 414. Fiber Bragg grating (FBG) 414 is configured to reflect energy at the pump frequency and absorb energy at the signal frequency. Counter-propagating optical energy enters circulator 412 at port 3, exits circulator 412 at port 1 and encounters FBG 414. Optical energy at the pump wavelength, e.g., the pump energy, reflects off FBG 414, reenters circulator 412 at port 1 and exits circulator 412 at pump 2 and thus enters the first stage. In one embodiment, the pump energy experiences a loss of less than 1 dB between the stages. By contrast, optical energy at the signal frequency, e.g., scattering products, are absorbed by FBG 414 and cannot enter the first amplifier stage. In this way, DRS effects are attenuated while pump energy from a single source is allowed to counter-propagate through both stages.

Another advantage of the architecture of Fig. 4 is that it may be readily extended to more than 2 stages, allowing for even further reduction of DRS effects. Fig. 5 depicts a three-stage counter-pumped Raman amplifier 500 with pump reuse according to one embodiment of the present invention. Amplifier 500 includes an optical isolator 502, three spools of fiber 504, 506, and 508, two closed circulators 510 and 512, two FBGs

514 and 516 and an open three-port optical circulator 518 at the output. The energy from a single pump 520 counter-propagates through the multiple sections via circulators 510 and 512 and FBGs 514 and 516. However, analogous to the operation of amplifier 400 of Fig. 4, counter-propagation of scattering products is blocked by absorption by FBGs 514 and 516.

Fig. 6 depicts how OSNR due to DRS varies versus Raman gain for a prior art single-stage amplifier and for two-stage and three-stage Raman amplifiers employing pump reuse according to one embodiment of the present invention. For the simulation DSF is used with an assumed effective area of 50 um^2 and an assumed DRS coefficient of 10^{-7} m^{-1} . The attenuation coefficients assumed for the signal and the pump are 0.225 dB/km and 0.26 dB/km respectively. The solid curve shows data for a single-stage Raman amplifier with a section of 16 km of dispersion shifted fiber (DSF). The dotted curve shows data for the Raman amplifier of Fig. 4 with two fiber sections with 9 km of DSF each. The dashed curve shows data for a three-stage Raman amplifier as in Fig. 5 with three fiber sections having 7 km of DSF each.

Fig. 6 shows that the OSNR improvement depends on the net gain. For a 20 dB gain, there is an improvement of more than 10 dB using the two-stage architecture of Fig. 4 and an improvement of 15 dB using the three-stage architecture of Fig. 5. In accordance with the present invention, this improvement is achieved using a single pump that is reused for multiple stages. Amplification efficiency is thus greatly enhanced.

Fig. 7 depicts OSNR due to ASE and OSNR due to DRS noise versus input signal power for a two-stage Raman amplifier employing pump reuse according to one embodiment of the present invention. A 10 GHz resolution bandwidth was used in

generating the plot. A solid curve plots ASE-induced OSNR while a dotted curve plots DRS-induced OSNR. The plotted data assumes a Raman amplifier as in Fig. 4 employing two 9 km long sections of DSF fiber having an effective area of 50 um^2 and a DRS coefficient of 10^{-7} m^{-1} . The assumed attenuation coefficients for the signal and the pump are 0.225 dB/km and 0.26 dB/km respectively.

Fig. 7 shows that DRS does not become the dominant contributor to OSNR performance until input power reaches approximately -12 dBm. This represents an improvement of 20 dB when compared with the results plotted in Fig. 2 for a single-stage Raman amplifier. Since fiber optic system transmission powers are typically below -20 dBm, DRS is effectively removed as a noise source.

In order to achieve greater gain flatness, it is desirable to use multiple pumps at different wavelengths. Fig. 8 depicts a two-stage counter-pumped Raman amplifier 800 employing two pump wavelengths with pump reuse according to one embodiment of the present invention. As is shown in Fig. 8, the amplifier architecture of Fig. 4 may be readily modified to accommodate multiple pump wavelengths.

Raman amplifier 800 includes an optical isolator 802 at the input, two spools of fiber 804 and 806, a closed optical circulator 808, two FBGs 810 and 812, an open optical circulator 814, two laser pumps 816 and 818, and a wavelength division multiplexer (WDM) 820. Pumps 816 and 818 transmit at different wavelengths. The pump outputs are multiplexed together by WDM multiplexer 820 and coupled to the fiber by circulator 814.

The operation of amplifier 800 is similar to that of amplifier 400 of Fig. 1. Here, however, each pump has its own FBG to reflect its energy and permit the pump energy to

counter-propagate into fiber section 804. For example, FBG 810 reflects all optical energy at the wavelength of pump 816 while FBG 812 reflects all optical energy at the wavelength of pump 818. All other optical energy including signal scattering products are absorbed and not permitted to enter fiber section 804. In this way the energy from both pumps is used to provide amplification in both stages while DRS effects are ameliorated by the frequency-selective isolation provided by the operation of circulator 808 in conjunction with FBGs 810 and 812.

Fig. 9 depicts net gain and OSNR due to DRS for a prior-art single stage Raman amplifier and for a two-stage Raman amplifier employing two pump wavelengths with pump reuse according to one embodiment of the present invention. A dashed curve plots net gain (dB) versus wavelength (nm) for a single stage Raman dual-pumped amplifier employing 16 km of DSF. A solid curve plots net gain versus wavelength for a two-stage Raman amplifier as in Fig. 8 made of two sections of DSF that are both 9 km long. A line denoted by dark circles plots DRS-induced OSNR versus wavelength for the same single-stage Raman amplifier. A dotted line plots DRS-induced OSNR versus wavelength for the same two-stage Raman amplifier. The effective area of all fiber sections is assumed to be $50 \text{ } \mu\text{m}^2$, the DRS coefficient is assumed to be 10^{-7} m^{-1} . The attenuation coefficients for the signal and the pump are assumed to be 0.225 dB/km and 0.26 dB/km respectively.

Fig. 9 shows that the two amplifier configurations have almost identical gain and flatness. However, the amplifier architecture of Fig. 8 achieves at least a 10 dB improvement in the level of DRS-induced noise.

Fig. 10 depicts an embodiment of the present invention as applied to a dispersion compensation system 1000. In WDM optical communication links, chromatic dispersion compensation may be performed by placing spools of dispersion compensating fiber at sites along the line. In order to compensate for attenuation in the line and within the dispersion compensating fiber, erbium-doped fiber amplifier (EDFA) technology may be exploited. Accordingly, dispersion compensation system 1000 includes a pre-EDFA 1002, a dispersion compensating fiber unit 1004, and a booster EDFA 1006. For improved noise figure performance, it is desirable to achieve Raman amplification within the dispersion compensating fiber of unit 1004 by injecting counter-propagating pump energy. In this way, unit 1004 may be made transparent.

According to one embodiment of the present invention, the dispersion compensating fiber of unit 1004 is divided into two stages and pump energy at two different wavelengths is distributed through the two stages in the same manner as depicted in Fig. 8. Unit 104 includes the components depicted in Fig. 8 as well as an additional gain flattening filter 1008. It will be appreciated that it is also possible to divide the fiber into three stages.

The configuration of Fig. 10 achieves great advantages in suppressing DRS noise. Fig. 11 depicts OSNR due to DRS noise versus wavelength for one, two, and three sections of pumped dispersion compensating fiber. For the one section configuration, the single section includes 20 km of dispersion compensating fiber. For the two section configuration, the two sections each include 10 km. For the three section configuration, the three sections each include 6.6 km of fiber. For each of the configurations plotted by Fig. 11, the dispersion compensating fiber is pumped so that the Raman gain

compensates for attenuation loss and the net gain through unit 1004 is 0 dB. Only a slight increase in pump power is required when the dispersion compensating fiber is divided into three sections. It can be seen in Fig. 11 that it is possible to increase the minimum OSNR due to DRS noise from 46 dB to about 51 dB, a very beneficial improvement for ultra-long-haul applications.

It is understood that the examples and embodiments that are described herein are for illustrative purposes only and that various modifications and changes in light thereof will be suggested to persons skilled in the art and are to be included within the spirit and purview of this application and scope of the appended claims and their full scope of equivalents.